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NON-PROVISIONAL APPLICATION

CROSS REFERENCE TO RELATED APPLICATIONS

None.

FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

5

None.

TITLE

Particulate Neutralization System for Air Handling Equipment

BACKGROUND OF THE INVENTION

1. Field of the Invention

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The present invention generally relates to a system for the neutralization of particulates including germs, organisms, and airborne pathogens. Specifically, the invention includes a plurality of optical fibers communicating light from one or more remotely disposed ultraviolet tubes into an air stream, wherein the ultraviolet light is emitted from one end of each fiber to provide a plurality of beams to form a field of ultraviolet radiation through which the air stream passes.

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2. Description of the Related Art

While ultraviolet lamps are recognized for their germicidal properties, effective implementations of such devices within air handling equipment for the neutralization of organic particulates have been limited due to a well known practical limitation. Namely, the intensity of light emitted from an ultraviolet lamp, and thereby the effectiveness of such devices, decreases dramatically with distance.

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The related arts include a variety of filtration, neutralization and disinfection

1 devices to improve the coupling of ultraviolet light onto organic particulates within an air
stream. The most common approach is to reduce the distance between light source and
particulates by placing one or more ultraviolet tubes within the air stream in an air duct, as
described by Vilarasau Alegre (U.S. Patent No. 6,653,647), Guzorek (U.S. Patent No.
5 6,630,678), Fencel et al. (U.S. Patent No. 6,627,000), Brumett (U.S. Patent No.
6,619,063), Palestro et al. (U.S. Patent No. 6,497,840), Fencel et al. (U.S. Patent No.
6,372,186), Bach (U.S. Patent No. 5,894,130), Fencel et al. (U.S. Patent No. 5,866,076),
Meinzer et al. (U.S. Patent No. 5,865,959), Summers (U.S. Patent No. 5,837,207),
Berman et al. (U.S. Patent No. 5,766,455), Von Glehn (U.S. Patent No. 5,681,374),
10 Morrow et al. (U.S. Patent No. 5,656,242), Mazzilli (U.S. Patent No. 5,523,057), Pick et
al. (U.S. Patent No. 5,330,722), Gazzano (U.S. Patent No. 5,112,370), and Horng (U.S.
Patent No. 4,931,654).

The related arts also include non-germicidal inventions having an ultraviolet
lamp within an air stream to remove inorganic compounds. For example, Fleck et al. in
15 U.S. Patent No. 5,564,065 teaches a carbon monoxide air filter comprised of an ultraviolet
lamp surrounded by a matrix of fibrous material, typically fiberglass, holding a photo-
excitable powder thereon. Ultraviolet light is communicated to the photo-excitable
powder via a side-glow fiber embedded within the fibrous material so as to excite the
powder which oxidizes carbon monoxide to form carbon dioxide.

20 The above referenced related arts are plagued by technical problems that
reduce the effectiveness and limit the life span of ultraviolet tubes.

22 It is well known that ultraviolet tubes generate an electrostatic field attracting

1 particulates which accumulate and form a coating thereon. This coating over time impedes
ultraviolet emissions and frustrates the neutralization of airborne particles.

It is likewise known that the performance of ultraviolet tubes is temperature
sensitive. A moving air stream reduces tube temperature thereby decreasing the effective
5 wavelength of ultraviolet emissions which reduces the efficient neutralization of airborne
particles. Furthermore, a lower operating temperature shortens tube life. Additional tubes
are typically introduced to offset reductions in tube performance by increasing the intensity
of ultraviolet light within the air stream. However, this approach increases operating and
maintenance costs.

10 It is also known that ultraviolet tubes are susceptible to mechanical failure
when impacted by debris within an air stream. Furthermore, such tubes are degraded and
damaged by moisture and other contaminants within an air stream.

What is currently required is a particulate neutralization system capable of
communicating ultraviolet light from a remote source into an air stream so as to avoid the
15 problems found in the related arts.

What is also required is a particulate neutralization system capable of emitting
ultraviolet light within a duct through which an air stream must pass.

SUMMARY OF THE INVENTION

An object of the present invention is to provide a particulate neutralization
20 system wherein ultraviolet light from an external source is communicated into a duct via a
plurality of fiber optic cables.

22 A further object of the present invention is to provide a particulate

1 neutralization system wherein a field of ultraviolet radiation is achieved via a plurality of individual beams of light.

 A further object of the present invention is to provide a field of ultraviolet radiation so as to neutralize particulates within an air stream.

5 In preferred embodiments, the invention includes a duct, a lamp having at least one ultraviolet tube therein, an optically transmissible element, and a light panel. The duct has an exterior surface with two openings and an interior volume through which an air stream is directed. The lamp is fastened to the exterior surface of the duct over a first opening. The optically transmissible element is secured between lamp and duct so as to
10 prevent the air stream from contacting the ultraviolet tubes within the lamp. The light panel has a porous mat composed of a plurality of end emitting optical fibers and a frame about its perimeter. The panel is slidably disposed through a second opening and
 removably secured within the duct so as to bisect the air stream. A first end of each end emitting optical fiber is positioned so as to allow ultraviolet light from the lamp to enter
15 the fiber. Ultraviolet light is projected from a second end of the same fiber within the porous mat so that individual light beams form a field within the duct.

 In alternate embodiments, the invention includes a duct, two or more lamps each having at least one ultraviolet light, two or more optically transmissible elements, and a single light panel. Each lamp is fixed to the exterior surface of the duct over an opening
20 with one optically transmissible element secured there between. The light panel is composed of a frame and a porous mat of end emitting optical fibers. The panel is slidably
22 disposed into the duct and removably secured within the duct so as to bisect the air

1 stream. Lamps are arranged about the light panel so as to communicate ultraviolet light
into the optical fibers. Ultraviolet light is emitted from the optical fibers so as to provide a
plurality of ultraviolet beams within the porous mat forming a field within the duct through
which the air stream passes.

5 In other embodiments, the invention includes a duct, two or more lamps each
having at least one ultraviolet light, two or more optically transmissible elements, and two
or more light panels. Each lamp is fixed to the exterior surface of the duct over an opening
with one optically transmissible element secured there between. Each light panel is
composed of a frame and a porous mat of end emitting optical fibers. Panels are separately
10 disposed within and slidably disposed into the duct and removably secured within the duct
so as to bisect the air stream. At least one lamp communicates ultraviolet light into the
optical fibers comprising each porous mat. Ultraviolet light is emitted from the optical
fibers so as to provide a plurality of ultraviolet beams within each porous mat to form one
or more fields within the duct through which the air stream passes.

15 A variety of optional arrangements are possible for the above described
embodiments. For example, the optically transmissible element may be a lens to either
focus or spread light from a lamp prior to entering the optical fibers. It is likewise possible
to have a lens at one or both ends of each optical fiber within the porous mat to focus or
disperse light. Furthermore, filter elements may be positioned upstream, downstream
20 and/or between light panels to remove particulates prior to and/or after neutralization.

Several advantages are offered by the present invention. The invention allows
22 ultraviolet light to be communicated into a duct from a remote source while avoiding the

1 loses inherent to remote placement. The invention avoids both cooling and environmental
conditions that limit tube life. The light panel is both durable and washable.

BRIEF DESCRIPTION OF THE DRAWINGS

5 The invention will now be described in more detail, by way of example only,
with reference to the accompanying drawings, in which:

FIG. 1 is a cross-sectional view of a particulate neutralization system showing a light panel
within a duct and a lamp with an ultraviolet tube attached to the exterior of the duct at one
end of the light panel.

FIG. 2 is a cross-sectional view at line 2-2 of the particulate neutralization system of FIG.

10 1.

FIG. 3 is an enlarged cross-sectional view of lamp and light panel showing ultraviolet light
communicated through an optically transmissible element into a plurality of optical fiber.

FIG. 4 is a schematic representation of several optical fibers from a porous mat of a light
panel whereby each launches an ultraviolet beam thereafter forming a contiguous field.

15 FIG. 5a is an elevation view of an optical fiber from a porous mat showing a conical-
shaped envelope of ultraviolet light at the fiber end.

FIG. 5b is an elevation view of the optical fiber of FIG. 5a having a lens increasing the
angle of the conical-shaped envelope of ultraviolet light at the fiber end.

FIG. 5c is an elevation view of the optical fiber of FIG. 5a having a lens decreasing the
20 angle of the conical-shaped envelope of ultraviolet light at the fiber end.

FIG. 6 is an alternate embodiment of the particulate neutralization system shown in FIG. 2
22 having two parallel disposed lamps illuminating optical fibers within a light panel.

1 FIG. 7 is an alternate embodiment of the particulate neutralization system shown in FIG. 2
having two perpendicularly disposed lamps illuminating optical fibers within a light panel.
FIG. 8 is an alternate embodiment of the particulate neutralization system shown in FIG. 2
having three lamps illuminating optical fibers within a light panel.
5 FIG. 9 is an alternate embodiment of the particulate neutralization system shown in FIG. 1
having an optional pre-filter and an optional post-filter.
FIG. 10 is an alternate embodiment of the particulate neutralization system shown in
FIG. 1 having two light panels within a duct with particulate filters upstream, downstream
and between the panels.

10 **REFERENCE NUMERALS**

1 Particulate neutralization system
2 Ultraviolet tube
3 Bracket
4 Optically transmissible element
15 5 Duct
6 Lamp
7 Air stream
8 Interior volume
9 Exterior surface
20 10 Light panel
11 First opening
22 12 Frame

1	13 Porous mat
	14 Optical fiber
	15 Light
	16 First end
5	17 Second end
	18 Ultraviolet beam
	19 Contiguous field
	20 Lens
	21 Target distance, d
10	22 Spot diameter, D
	23 Angle, α
	24 Flange
	25 Second opening
	26 Upstream
15	27 Downstream
	28 Pre-filter
	29 Post-filter
	30 Intermediate filter
	31 Width
20	33 Height
	34 Gasket
22	35 Flange

1 36 Fiber end

DESCRIPTION OF THE INVENTION

FIGS. 1-3 describe one embodiment of the particulate neutralization system 1 comprising a duct 5, a lamp 6 having at least one ultraviolet tube 2, an optically transmissible element 4, and a light panel 10. FIG. 5 graphically describes alternate embodiments wherein a lens 20 is attached to each optical fiber 14 within the light panel 10. FIGS. 6-10 describe several alternate embodiments having multiple lamps 6, multiple light panels 10, and additional filtration elements.

Referring now to FIGS. 1-2, a duct 5 is shown having a light panel 10 within the interior volume 8 of the duct 5 in a perpendicular arrangement so as to bisect the air stream 7 into upstream 26 and downstream 27 components. The duct 5, as is understood in the art, is a conduit through which the air stream 7 is directed. The duct 5 has a rectangular-shaped first opening 11 and a rectangular-shaped second opening 25 both aligned in a lengthwise fashion perpendicular to the flow direction of the air stream 7, as shown in FIGS. 1 and 2, respectively. A lamp 6 is secured to the exterior surface 9 of the duct 5 and aligned lengthwise with the first opening 11. It is preferred to have the first opening 11 nearly as long as the length 32 and as wide as the width 31 of the light panel 10. The light panel 10 is inserted into the duct 5 through the second opening 25. It is also preferred to have the second opening 25 slightly larger than the height 33 and slightly wider than the width 31 of the light panel 10. Clearance between light panel 10 and second opening 25 should allow sliding motion there between yet minimize leakage of ultraviolet light and air stream 7 from the interior volume 8. It is likewise possible to have a removal

1 panel with seal covering the second opening 25 to prevent leakage of ultraviolet light and
air stream 7.

Referring to FIG. 1, two pairs of right-angle flanges 24 are either tack welded
or mechanically fastened to the duct 5 top and bottom within the interior volume 8 so as
5 to provide a guide way for the placement of the light panel 10 and prevent movement
thereof within the duct 5 due to loading by the air stream 7.

The lamp 6 houses one or more ultraviolet tubes 2 which emit ultraviolet light
in a directed fashion. A flange 35 about the perimeter of the lamp 6 is fastened to a four-
sided bracket 3, having a c-shaped cross section as shown in FIGS. 1-2, via screws or
10 comparable fasteners. Thereafter, the bracket 3 is fastened to the exterior surface 9 of the
duct 5 so as to completely surround the first opening 11. The described arrangement
between lamp 6, bracket 3, and first opening 11 insures the directed projection of
ultraviolet light from the lamp 6 onto the light panel 10 adjacent to the first opening 11. A
continuous bead of silicon-based caulk may be desired along contacting surfaces between
15 flange 35 and bracket 3 and bracket 3 and duct 5.

The optically transmissible element 4 is secured to the exterior surface 9 of the
duct 5 bounded by the bracket 3, also shown in FIGS. 1-2. Referring to FIG. 3, a gasket
34, preferably an ultraviolet resistant adhesive, is applied about the perimeter of the
optically transmissible element 4 so as to contact and bond with both duct 5 and bracket 3
20 thereby sealing the duct 5 and preventing the air stream 7 from exiting the duct 5 through
the first opening 11. While a variety of commercially available transparent, ultraviolet
22 transmissible glasses and plastics were found to be adequate for the optically transmissible

1 element 4, preferred embodiments included a planar-shaped float glass.

The number of ultraviolet tubes 2 within a lamp 6 and their operational characteristics, namely voltage, power and wavelength, are dependent on the cross sectional dimensions of the duct 5, flow rate through the duct 5, and quantity and type of
5 particulates within the air stream 7. As such, a variety of commercially available ultraviolet tubes 2 and lamps 6 are applicable to the present invention. A high output utility fixture having two germicidal bulbs, model no. UHFO26-2-120, sold by the American Ultraviolet Company located in Lebanon, Indiana is a non-limiting example.

Referring again to FIG. 2, the light panel 10 includes a rectangular-shaped
10 frame 12 disposed about the perimeter of a porous mat 13. The frame 12 has an inwardly disposed u-shape cross section along sides not immediately adjacent to a lamp 6 , as shown in FIG. 1. The frame 12 is composed of a rigid or semi-rigid material, non-limiting examples including polyethylene, polypropylene and water-resistant cardboard. The porous mat 13 is secured to the frame 12 within the u-shaped structure via an adhesive or
15 mechanical fasteners.

The porous mat 13 is composed of a plurality of end emitting optical fibers 14 oriented in a weave-like fashion or randomly intertwined in a mesh like-fashion. Gaps or spaces between optical fibers 14 within the weaver or mesh allow the air stream 7 to traverse the light panel 10 while minimizing the pressure drop between upstream 26 and
20 downstream 27. In yet other embodiments, it was desired to minimize the gaps or spaces between optical fibers 14 within the porous mat 13 so as to also trap particulates therein.

22 Optical fibers 14 direct ultraviolet light from a first end 16 to a second end 17

1 without exit there between. Such end emitting optical fibers 14 are required to
communicate ultraviolet light for an extended period without degradation and to be
sufficiently flexible to resist breakage during fabrication of the porous mat 13. A single-
mode fiber having a high numerical aperture and composed of a hard clad silica sold by the
5 3M Company with model number FT-400-URT is an exemplary optical fiber 14.

Referring now to FIG. 3, the first end 16 of each optical fiber 14 is arranged in
a parallel fashion and thereafter bundled along a side of and passing through the frame 12.
Optical fibers 14 were adhesively bonded to one another and to the frame 12. Optical
fibers 14 are oriented towards the ultraviolet tube 2 so that light 15 is communicated into
10 the first end 16 of each optical fiber 14.

Referring now to FIG. 4, the second end 17 of each optical fiber 14 resides
within the porous mat 13 so as to allow a conical-shaped ultraviolet beam 18 to be
launched therefrom. Optical fibers 14 may be oriented so that ultraviolet beams 18 are
launched in an ordered or random pattern. It is desired that ultraviolet beams 18 overlap to
15 form a contiguous field 19 within and/or adjacent to the porous mat 13, as graphically
represented in FIG. 4. The contiguous field 19 is positioned within the interior volume 8
of the duct 5 so as to bisect the air stream 7 thereby insuring ultraviolet light is
communicated to particulates therein.

The efficient coupling of light 15 into the first end 16 of the optical fiber 14 is
20 improved or tailored via the optically transmissible element 4. For example, the planar-
disposed optically transmissible element 4 may be shaped to function as a lens so as to
22 focus or to diffuse light 15 from the ultraviolet tube 2 before it enters the first end 16 of

1 the optical fiber 14.

The efficient coupling of light 15 into and ultraviolet beam 18 out of the optical fiber 14 may be tailored via a lens 20. Referring now to FIGS. 5b-5c, a lens 20 is shown at the fiber end 36 of an exemplary optical fiber 14. In FIG. 5b, the lens 20 diffuses the ultraviolet beam 18 launched from the optical fiber 14 so as to have a larger angle 18 and spot diameter 22 at a target distance 21 than the optical fiber 14 without a lens 20 in FIG. 5a. It is likewise possible for the lens 20 described in FIG. 5b to increase light 15 communicated into the optical fiber 14 by the lamp 6. In FIG. 5c, the lens 20 focuses the ultraviolet beam 18 so as to have a smaller angle 18 and spot diameter 22 at a target distance 21 than the optical fiber 14 without lens 20 in FIG. 5a. It is possible to lense the fiber end 36 via chemical mill and mechanical grinding techniques.

In some applications, it may be desired to communicate light 15 into the optical fibers 14 of the porous mat 13 via two or more ultraviolet lamps 6a-6c. FIGS. 6-7 show embodiments having two lamps 6a, 6b attached to the duct 5 via brackets 3a, 3b, as described above. In FIG. 6, lamps 6a, 6b are arranged parallel at opposite sides of the light panel 10 thereby communicating light 15 into the optical fibers 14. In FIG. 7, lamps 6a, 6b, are positioned in a perpendicular arrangement about the light panel 10 thereby communicating light 15 into the optical fibers 14. Each lamp 6a, 6b includes one or more ultraviolet tubes 2 radiating light 15 at one or more wavelengths. FIG. 8 shows an embodiment having three lamps 6a-6c, aligned with three sides of a light panel 10 and communicating light 15 into the optical fibers 14. Ultraviolet tubes 2 are shielded from the air stream 7 via an optically transmissible element 4a, 4b, and 4c. Light 15 is

1 communicated into optical fibers 14 as described above for FIG. 3.

In some embodiments, it may be desired to remove particulates within the air stream 7 prior to and/or after the light panel 10. Referring now to FIG. 9, the particulate neutralization system 1 includes a single light panel 10 within a duct 5 having an optional pre-filter 28 secured within the same duct 5 via techniques understood in the art and upstream 26 from the light panel 10. Likewise, an optional post-filter 29 is shown secured within the same duct 5 via techniques understood in the art and downstream 27 from the light panel 10. A variety of particulate filtration elements are applicable to the pre-filters 28 and post-filters 29, including but not limited to commercially available pleated and HEPA filters.

In alternate embodiments, it may be desired to include two or more light panels 10 disposed in a parallel fashion along a single duct 5. Referring now to FIG. 10, a particulate neutralization system 1 is shown having two light panels 10a, 10b disposed along a duct 5 with an optional pre-filter 28 upstream 26, an optional post-filter 29 downstream 27 and an optional intermediate filter 30 between the light panels 10a, 10b. Each light panel 10a, 10b communicates light 15 from at least one ultraviolet lamp 6, as described above for FIGS. 2, 6, 7, and 8, into the interior volume 8 thereby forming one or more contiguous fields 19 across the cross section of the duct 5. For example, ultraviolet beams 18 from two closely spaced light panels 10a, 10b might combine to form a single contiguous field 19. It is likewise possible that two or more light panels 10 are sufficiently separated so that each provides a contiguous field 19.

22 The description above indicates that a great degree of flexibility is offered in

1 terms of the present invention. Although the present invention has been described in
considerable detail with reference to certain preferred versions thereof, other versions are
possible. Therefore, the spirit and scope of the appended claims should not be limited to
the description of the preferred versions contained herein.

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